



MBO Cleary Advisors Inc.

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## **Business Continuity Plan Summary**

As Updated through June 22, 2006

The purpose of this Business Continuity Plan Summary (BCP Summary) is to insure our clients that MBO Cleary Advisors Inc. (MBO Cleary) has a business continuity plan with detailed policies and procedures, which we will employ in the event of a Significant Business Disruption.

MBO Cleary's policy is to respond to a Significant Business Disruption (SBD) by taking reasonable measures designed to safeguard its employees' lives and firm's property, make a financial and operational assessment, quickly as practicable recover and resume operations, protect all of the firm's books and records, and ensure our clients can communicate with their MBO Cleary professional(s). In short, our BCP is designed to permit our firm to resume operations as quickly as possible and practicable, given the scope and severity of the SBD.

Our plan anticipates two kinds of SBDs, internal and external. Internal SBDs affect only our firm's ability to communicate and do business, such as a flood or fire in our building. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a blackout, or a wide-scale, regional disruption. Our response to an external SBD relies more heavily on other organizations and systems, especially on the capabilities of our clients' custodians, prime brokers and/or other vendors.

In the event of an emergency, our goal is to return to full service within four hours. While our plan attempts to deal with the potential likely impact of a wide variety of scenarios, any specific response will inevitably be highly dependent upon the nature and extent of the incident and, depending on the severity of a specific event, MBO Cleary cannot guarantee that it will be able to follow the stated course of action. A four hour recovery is contingent on the nature of the event and that critical industry and municipal infrastructure are fully functional.

**Contacting Us:** If after a SBD you cannot contact us as you usually do at 414-291-3848, you should call our alternative number at 414-403-4272 or go to our web site at [www.mboCleary.com](http://www.mboCleary.com). In the event you are unable to reach us at our regular or alternative phone numbers, clients should call 908-273-2454.

This BCP Summary is subject to modification at any time, but will be promptly posted to our Web site if changes are made and clients may be able to obtain updated summaries by requesting a written copy by mail.